

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Novel Crystalline Ion-Association Substance, Process for Producing the Same, and Polymerization Initiator, the specification of which

(check one)

☐ is attached hereto.

☐ was filed on \_\_\_\_\_ as

Application Serial No. \_\_\_\_\_

and was amended on \_\_\_\_\_

(if applicable)

☒ was filed as PCT international application

No. PCT/JP00/00518 on January 31, 2000

and was amended under PCT Article 19 on July 19, 2000

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

|                             |                             |                             |                                     |                          |
|-----------------------------|-----------------------------|-----------------------------|-------------------------------------|--------------------------|
| <u>24294/99</u>             | <u>Japan</u>                | <u>01/02/1999</u>           | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| (Number)                    | (Country)                   | (Day/Month/Year Filed)      | Yes                                 | No                       |
| <u>                    </u> | <u>                    </u> | <u>                    </u> | <input type="checkbox"/>            | <input type="checkbox"/> |
| (Number)                    | (Country)                   | (Day/Month/Year Filed)      | Yes                                 | No                       |
| <u>                    </u> | <u>                    </u> | <u>                    </u> | <input type="checkbox"/>            | <input type="checkbox"/> |
| (Number)                    | (Country)                   | (Day/Month/Year Filed)      | Yes                                 | No                       |

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Applicant or Patentee: Shin HIWASA Attorney's  
Serial or Patent No.: \_\_\_\_\_ Docket No.: 1214-011212  
Filed or Issued: \_\_\_\_\_  
For: Novel Crystalline Ion-Association Substance, Process for  
Producing The Same, and Polymerization Initiator  
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN

I hereby declare that I am

\_\_\_\_\_ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf  
of the concern identified below:  
NAME OF SMALL BUSINESS CONCERN AUTEX, INC.  
ADDRESS OF SMALL BUSINESS CONCERN Shinjukutakasago Bldg., 16-5, Tomihisacho,  
Shinjuku-ku, Tokyo 162-0067, Japan

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled Novel Crystalline Ion-Association Substance, Process for Producing the Same, and Polymerization Initiator by inventor(s) Shin HIWASA described in

☒ the specification filed herewith.  
☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_.  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
\_\_\_\_\_ INDIVIDUAL \_\_\_\_\_ SMALL BUSINESS CONCERN \_\_\_\_\_ NONPROFIT ORGANIZATION

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
\_\_\_\_\_ INDIVIDUAL \_\_\_\_\_ SMALL BUSINESS CONCERN \_\_\_\_\_ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Morito OTA

TITLE OF PERSON IF OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING Shinjukutakasago Bldg., 16-5, Tomihisacho, Shinjuku-ku, Tokyo 162-0067, Japan

SIGNATURE 

DATE July 11, 2001

09090438 073401

(Application Serial No.)

(Filing Date)

(Status)  
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)  
(patented, pending, abandoned)

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POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

|                         |               |                   |               |                       |               |
|-------------------------|---------------|-------------------|---------------|-----------------------|---------------|
| William H. Logsdon      | <u>22,132</u> | Paul M. Reznick   | <u>33,059</u> | Jesse A. Hirshman     | <u>40,016</u> |
| Russell D. Orkin        | <u>25,363</u> | John W. McIlvaine | <u>34,219</u> | James G. Porcelli     | <u>33,757</u> |
| David C. Hanson         | <u>23,024</u> | Michael I. Shamos | <u>30,424</u> | Kent E. Baldauf, Jr.  | <u>36,082</u> |
| Frederick B. Ziesenheim | <u>19,438</u> | Blynn L. Shideler | <u>35,034</u> | Christian E. Schuster | <u>43,908</u> |
| Richard L. Byrne        | <u>28,498</u> | Julie W. Meder    | <u>36,216</u> | Deborah M. Altman     | <u>42,259</u> |
| Kent E. Baldauf         | <u>25,826</u> | Lester N. Fortney | <u>38,141</u> | Dean E. Geibel        | <u>42,570</u> |
| Barbara E. Johnson      | <u>31,198</u> | Randall A. Notzen | <u>36,882</u> | Thomas Clinton        | <u>40,561</u> |
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Full name of sole or first inventor

Shin HIWASA

Inventor's signature

Shin Hiwasa

Date  
July 11, 2001

Residence c/o Autex, Inc., Technical Center, 4574-1, Shimoota, Shintonemachi,  
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Citizenship

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Inashiki-gun, Ibaraki 300-1424, Japan

Full name of second joint inventor, if any

Second inventor's signature

Date

Residence

Citizenship

Post Office Address